

5/16/02
J. H. F.

Official

PATENT
Docket No. 35103-018001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: Hauk, et al.

Serial No.: 09/540,601

Filed: March 31, 2000

For: VIRTUAL TRADING FLOOR
SYSTEM

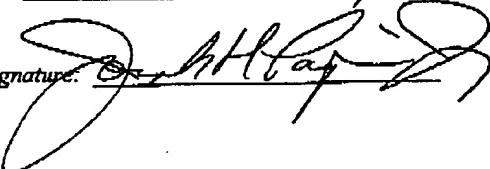
Examiner: Richard Fults

Art Unit: 2768

CERTIFICATE OF TRANSMISSION
UNDER 37 C.F.R. §1.8 (a) and M.P.E.P. §512.

I hereby certify that this AMENDMENT
UNDER 37 C.F.R. §1.115 is being sent via
facsimile transmission to the United States
Patent and Trademark Office to fax number
(703) 746-7239 on May 15, 2002.

By: Joseph H. Provin, Jr.

Signature: 

PRELIMINARY AMENDMENT

Via Facsimile (703) 746-7239

BOX NO FEE AMENDMENT
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants respectfully submit the following amendment to the above referenced patent application and request that the application be considered in view of the same.